#5

09/771,536

IN THE UNITED STATES P.	ATENT A	AND TRADEMARK OFFICE	
TRADEL TE Application of:)	Group Art Unit: 1646	
LANGRIDGE, William H.R. et al.)	Examining Attorney: to be assigned	
Serial No.: 09/771,536)	to be assigned	
Filed: January 29, 2001)		
For: Transgenic Plant-Based Vaccines)	Pasadena, California	

STATEMENT IN ACCORDANCE WITH 37 CFR 1.821(c) and (e)

BOX MISSING PARTS Assistant Commissioner for Patents Washington, D. C. 20231

Sir:

Enclosed with this Statement is the above-identified United States application, including a Sequence Listing and a computer readable form of the sequence listing filed herewith in accordance with 37 CFR 1.821(c) and (e).

37 CFR 1.1821(f) - I hereby state that the content of the paper and computer readable copies of the Sequence Listing submitted herewith in accordance with 37 CFR 1.1821(c) and (e),

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class in an envelope addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231, Attention: Box Missing Parts, on <u>May 4, 2001</u>

Eleven Porty	
Signature	
ELAINE PORTER	

09/771,536 12273-3

respectively, are the same.

No fee is believed due with this Communication. However, the Commissioner is hereby authorized to charge payment of any fees associated with this Communication to Deposit Account No. 19-2090.

Respectfully submitted,

SHELDON & MAK a Professional Corporation

Date: May 4, 2001

David A. Farah, M.D.

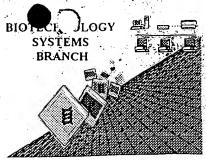
Reg. No. 38,134

225 South Lake Avenue 9th Floor Pasadena, CA 91101 626/796-4000

0880



RAW SEQUENCE LISTING ERROR REPORT



The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number: 09/771, 5-36Source: 01PE

Date Processed by STIC: 2 - 9 - 01

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.
PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

1) INCLUDING A COPY OF THIS PICINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY 6r,

2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION QUESTIONS, PLEASE CONTACT MARK SPENCER, 703-308-4212.

FOR SEQUENCE RULES INTERPRETATION, PLEASE CONTACT ROBERT WAX, 703-308-4216. PATENTIN 2.1 e-mail help: patin21help@uspto.gov or phone 703-306-4119 (R. Wax) PATENTIN 3.0 e-mail help: patin3help@uspto.gov or phone 703-306-4119 (R. Wax)

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE CHECKER VERSION 3.0 PROGRAM, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW:

Checker Version 3.9

The Checker Version 3.0 application is a state-of the-art Windows based software program employing a logical and intuitive user-interface to check whether a sequence listing is in compliance with format and content rules. Checker Version 3.0 works for sequence listings generated for the original version of 37 CFR §§1.821 – 1.825 effective October 1, 1990 (old rules) and the revised version (new rules) effective July 1, 1998 as well as World Intellectual Property Organization (WIPO) Standard ST 25.

Checker Version 3.0 replaces the previous DOS-based version of Checker, and is Y2K-compliant. Checker allows public users to check sequence listings in Computer Readable form (CRF) before submitting them to the United States Patent and Trademark Office (USPTO). Use of Checker prior to filing the sequence listing is expected to result in fewer errored sequence listings thus saving time and money

Checker Version 3.0 cambe down loaded from the USPIO website at the following additess:
[https://xxxxx.uspio.gov/xveb/offices/pac/checker/



OIPE

RAW SEQUENCE LISTING PATENT APPLICATION: US/09/771,536

DATE: 02/09/2001

TIME: 11:18:26

Input Set : A:\es.txt

Output Set: N:\CRF3\02092001\1771536.raw

1 <110> APPLICANT: Langridge, William Yu, Jie W--> 2 <120> TITLE OF INVENTION: Transgenic Plant-Based Vaccines<130> 12273-3<140> to be W--> 3 <140> CURRENT APPLICATION NUMBER: US/09/771,536

W--> 0 <130> FILE REFERENCE:

C--> 3 <141> CURRENT FILING DATE: 2001-01-29

Does Not Comply
Corrected Diskette Needed

ERRORED SEQUENCES

20 <210> SEQ ID NO: 2<211> 36<212> DNA<213> primer<400> 2

E--> 21 gctctagagc caccatgatt aaatiamaat ttggtg

see p. 2

DATE: 02/09/2001 VERIFICATION SUMMARY PATENT APPLICATION: US/09/771,536 TIME: 11:18:27

Input Set : A:\es.txt

Output Set: N:\CRF3\02092001\1771536.raw

L:2 M:283 W: Missing Blank Line separator, <120> field identifier
L:3 M:282 W: Numeric Field Identifier Missing, <140> CURRENT APPLICATION NUMBER: is Added.
L:0 M:201 W: Mandatory field data missing, FILE REFERENCE
L:3 M:271 C: Current Filing Date differs, Replaced Current Filing Date L:21 M:252 E: No. of Seq. differs, <211>LENGTH:Input:0 Found:36 SEQ:2

SEQUENCE LISTING<110> Langridge, William Yu, Jie Arakawa, Takeshi<120> Transgenic Plant-Based Vaccines<130> 12273-3<140> to be assigned<141> 2001-01-29<160> 15 / <170> PatentIn version 3.0<210> 1<211> 376<212> DNA<213> Vibrio cholerae<400> 1 atgattaaat taaaatttgg tgttttttt acagttttac tatcttcagc atatgcacat 60 format erro 240 enter qqaacacctc aaaatattac tgatttgtgt gcagaatacc acaacacaca aatacatacg ctaaatgata agatattgtc gtatacagaa tctctagctg gaaacagaga gatggctatc where organize attactttta agaatggtgc aacttttcaa gtagaagtac caggtagtca acatatagat indicate. 300 tcacaaaaa aagcgattga aaggatgaag gataccctga ggattgcata tcttactgaa This error 360 occurs throw gotaaaqt o aaaaqttatq tqtatqqaat aataaaacgc ctcatgcgat tgccqcaatt 376 agtatggcaa attggc 7 <210> 2<211> 36<212> DNA(213> primer(400> 2) gctctagagc caccatgatt aaattaaaat tiggig 36 Please chec 3 <210> 3<211> 41<212> DNA
213> primer
400>
ctggageteg ggeeeggee catttgccat actaattgcg g which? see below 220) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (213) (

In sequences 2 and 3 above the (213) response, primer is not valid. Valid (213) responses are:

- Genus species of organism

- Artificial sequence

- Voknown sequence

Unknown and Artificial sequences must be followed by <220, <223> features to explain the source of genetic material in the sequences. <220> is a mandatory blank line feature, <223> is the explaination feature.

* note: primer would be a valid <223> response.

The types of errors shown exist throughout the Sequence Listing. Please check subsequent sequences for similar errors.